# Speed improvements in a Missing Data-based speech recogniser

## by Gaussian selection

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# Introduction

Missing Data Techniques (MDT) increase the noise robustness of a speech recogniser by reducing the mismatch between the acoustic model and the noisy features, without having to model the noise. The first application of MDT to Hidden Markov Model (HMM) was formulated in the log spectral domain (SMDT [1]). Here, speech is represented by the log-energy outputs of a filter bank and modelled by a mixture of Gaussians with diagonal covariance. However, the filter bank outputs are highly correlated and poorly modelled with a diagonal covariance Gaussians. Cepstral MDT reduces the correlations with the Discrete Cosine Transformation (DCT) on the spectral features. There are two reasons which cause a CMDT [2] recogniser to be slow. First of all, for a context dependent HMM-based speech recogniser with Gaussian mixture output probability density functions, at every time frame, significant computational load is in matching the incoming observation with each Gaussian mixture. Furthermore, for CMDT, evaluating a mixture gets more expensive because the Maximum Likelihood Estimation (MLE) over a mixture implies solving a Non-negative Least Square (NNLSQ) problem. The latter reason could be alleviated by introducing the PROSPECT features [3], while the former can be surmounted by using Gaussian selection. However, since the MDT modifies the acoustic model, existing Gaussian selection methods need to be revisited. Approaches such as [5] and [8] can be adapted to our present needs. Besides Gaussian selection, subspace clustering [6] was also reported as an efficient method to save both computation and model storage and is also considered in this paper.

This paper is organized as follows. The basics of Gaussian selection are first shortly explained. MDT and PROSPECT features are restated followed by the descriptions how to expand Gaussian selection to the PROSPECT domain. Experiments are carried out on the car data of the AURORA-4 database [11] and of the SpeechDat CAR [12] Flemish database.

# **Gaussian Selection Overview**

The purpose of Gaussian selection is to remove unlikely mixtures during the decoding phase of speech recognition. In [8], the author gave an *L*-Cluster-*M*-Best scheme. Each Gaussian is assigned to one of *L* clusters. Each cluster is in turn represented by a newly created Gaussian. During decoding, if the cluster Gaussian matches the incoming observation well, its member Gaussians are further calculated. Otherwise, if the cluster Gaussian is far away from the observation, its members can be coarsely evaluated by assigning the matching score of the cluster Gaussian to

every member. The term "well" here is translated as "to appear in the *M*-Best list of all cluster Gaussian likelihoods". Unlike [8], in [5], the author gave a neighbourhood structure. After all Gaussians are clustered as code words or cluster Gaussians, neighbourhoods are created surrounding the cluster Gaussians. The neighbourhoods are overlapping sets, i.e. each Gaussian is assigned to one or more neighbourhoods. In the decoding phase, only the best neighbourhood is selected and its members are calculated exactly, while the others are only coarsely evaluated.

In subspace clustering [6], computational and memory savings can be achieved by dividing the whole feature space into several small streams that can each be modelled by a smaller number of Gaussians. However, in MDT, missing data needs to be imputed based on the stream's reliable data, which leads to an unallowable accuracy loss.

# Missing Data Techniques with the PROSPECT Features

In SMDT [1], when the speech signal is contaminated by additive noise, a spectral mask indicates at each time frame which spectral components are defined as missing or unreliable (dominated by noise) and which are reliable (dominated by speech). Hence, a *D*-dimensional vector of spectral observations can be split into an unreliable part  $\mathbf{s}_u$  and a reliable part  $\mathbf{s}_r$ :

$$' = [\mathbf{s}_{u}' \ \mathbf{s}_{r}'] \tag{1}$$

Data imputation uses the reliable part as evidence to estimate the missing part  $s_u$  using the acoustic models of the current decoder speech hypothesis. Thanks to the imputation, the acoustic model can be evaluated on complete spectral data. Data imputation is carried out in the form of Gaussian-wise MLE, where the cost function is

$$(\mathbf{s}-\boldsymbol{\mu}_s)'\boldsymbol{\Sigma}_s^{-1}(\mathbf{s}-\boldsymbol{\mu}_s) \tag{2}$$

 $\mu_s$  and  $\sum_s$  are the spectral mean and diagonal covariance of the mixture respectively. **s** contains **s**<sub>r</sub> to be imputed in order to minimize (2) given the constraint  $\mathbf{s}_u \leq \mathbf{y}_u$ , where  $\mathbf{y}_u$  is the noisy observation of the unreliable component.

In CMDT [2], the DCT matrix C is applied to the log spectral features and thereby applied to the cepstral covariance  $\sum_{c}$ . Equation (2) is changed to

$$(\mathbf{s}-\boldsymbol{\mu}_{s})'\mathbf{C}'\boldsymbol{\Sigma}_{c}^{-1}\mathbf{C}(\mathbf{s}-\boldsymbol{\mu}_{s}) = (\mathbf{y}-\mathbf{x}-\boldsymbol{\mu}_{s})'\mathbf{C}'\boldsymbol{\Sigma}_{c}^{-1}\mathbf{C}(\mathbf{y}-\mathbf{x}-\boldsymbol{\mu}_{s})$$
(3)

where x is the non-negative difference between the observed noisy speech y and the clean speech to be imputed, s. Minimizing (3) subject to  $x \ge 0$  does not have an analytic

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solution and requires iteration due to the non-diagonal precision matrix  $\mathbf{C}^* \sum_{c} c^{-1} \mathbf{C}$ .

PROSPECT features aim to reduce computation in cepstral MDT by working with a linear transforms that can be factorized with matrices of small size. Details and motivation can be found in [3]. From a statistical perspective, it implies modelling spectral correlations in the lower order cepstrum only. Let  $C_K$  be the *K* by *D* DCT matrix. The transformation applied to the log-spectrum **s** is then:

$$\mathbf{p} = \begin{bmatrix} \mathbf{C}_{\mathcal{K}} \\ \mathbf{P}_{\perp} \end{bmatrix} \mathbf{s} = \mathbf{B}\mathbf{s} = \begin{bmatrix} \mathbf{c} \\ \mathbf{d} \end{bmatrix}$$
(4)

*K* cepstral components are kept in **c**, while **d** is the spectral residual after removing the spectrum that is captured by **c**:

**d=s-**  $C_K$ '**c** hence  $P_\perp$ =**I-**  $C_K$ '  $C_K$ . Vector **p** of dimension K+D is referred to as the PROSPECT feature vector.

The mixture-wise likelihood is formulated as:

$$\mathbf{F} = \mathbf{N}_c \mathbf{N}_d^{\ \alpha} \tag{5}$$

$$N_{c} = \frac{1}{(2\pi)^{\frac{K}{2}} \prod_{k=1}^{K} \sigma_{ck}} \exp\left(-\frac{1}{2} \sum_{k=1}^{K} \frac{(c_{k} - \mu_{ck})^{2}}{\sigma_{ck}^{2}}\right)$$
(6)

and the projection part is

$$N_{d} = \frac{1}{(2\pi)^{\frac{D}{2}} \prod_{i=1}^{D} \sigma_{di}} \exp\left(-\frac{1}{2} \sum_{j=1}^{D} \frac{(d_{j} - \mu_{dj})^{2}}{\sigma_{dj}^{2}}\right)$$
(7)

 $\alpha$  is the projection stream weight and is set to 0.5.  $\sigma_{ck}$ ,  $\mu_{ck}$  and  $c_k$  denote the diagonal covariance, mean and observation of *k*-th component of the cepstral part of the PROSPECT feature;  $\sigma_{dj}$ ,  $\mu_{dj}$  and  $d_j$  denote the *j*-th component of the projection part. The log likelihood to be evaluated in the PROSPECT MDT is:

$$(\mathbf{s} - \boldsymbol{\mu})' [\mathbf{C}' \boldsymbol{\Sigma}_c^{-1} \mathbf{C} + \alpha \mathbf{P}_{\perp}' \boldsymbol{\Sigma}_d^{-1} \mathbf{P}_{\perp}] (\mathbf{s} - \boldsymbol{\mu})$$
(8)

# Gaussian Clustering in the PROSPECT Domain

The K-means algorithm is adopted for clustering. Gaussian selection and subspace clustering share the same clustering method. The two essentials of K-means are the distance metric and the estimation of cluster Gaussians.

#### **Gaussian Distance Metric**

The symmetric Kullback-Leibler Divergence (KLD) is used to measure the distance between two *N*-dimensional Gaussian mixtures with diagonal covariance matrix [7].

$$d(f,g) = \text{KLD}(f \parallel g) + \text{KLD}(g \parallel f)$$
$$= \int f \log \frac{f}{g} dx + \int g \log \frac{g}{f} dx$$

$$=\frac{1}{2}\sum_{i=1}^{N}\left(\frac{\sigma_{gi}^{2}}{\sigma_{fi}^{2}}+\frac{\sigma_{fi}^{2}}{\sigma_{gi}^{2}}+\frac{(\mu_{gi}-\mu_{fi})^{2}}{\sigma_{fi}^{2}}+\frac{(\mu_{gi}-\mu_{fi})^{2}}{\sigma_{gi}^{2}}\right)-N$$
(9)

However, some care must be taken: F formulated by equation (5) does not integrate to unity because of the stream exponent  $\alpha$ , which compensates for unmodelled correlations in the PROSPECT features. Hence it does not fall in the concept of the KLD in information theory. Function F can be decomposed into product of a coefficient H and a strict PDF f, where

$$H = (2\pi)^{\frac{(1-\alpha)D}{2}} \prod_{j=1}^{D} \frac{\sigma_{dj}^{1-\alpha}}{\sqrt{\alpha}}$$
(10)

and

$$f = \frac{1}{(2\pi)^{\frac{K+D}{2}} \prod_{k=1}^{K} \sigma_{ck} \prod_{j=1}^{D} \frac{\sigma_{dj}}{\sqrt{\alpha}}} \times \exp\{-\frac{1}{2} (\sum_{k=1}^{K} \frac{(c_{k} - \mu_{ck})^{2}}{\sigma_{ck}} + \sum_{j=1}^{D} \frac{(d_{j} - \mu_{dj})^{2}}{(\sigma_{dj} / \sqrt{\alpha})^{2}})\}$$
(11)

H is a mixture-dependent coefficient but will be approximated by a constant in the sequel. When substituting the means and diagonal covariance components in the strict PDF shown in Equation (11) into Equation (9), the divergence becomes:

$$d(f_c, g_c) + \alpha d(f_d, g_d) + A - (1 - \alpha)D$$
(12)

(which is symmetrical and strictly positive for  $f \neq g$ ) where

$$d(f_{c}, g_{c}) = \frac{1}{2} \sum_{k=1}^{K} \left( \frac{\sigma_{gck}^{2}}{\sigma_{fck}^{2}} + \frac{\sigma_{fck}^{2}}{\sigma_{gck}^{2}} + \frac{(\mu_{gck} - \mu_{fck})^{2}}{\sigma_{fck}^{2}} + \frac{(\mu_{gck} - \mu_{fck})^{2}}{\sigma_{gck}^{2}} \right) - K \quad (13)$$

$$d(f_{d}, g_{d}) = \frac{1}{2} \sum_{j=1}^{D} \left( \frac{\sigma_{gdj}^{2}}{\sigma_{fdj}^{2}} + \frac{\sigma_{fdj}^{2}}{\sigma_{gdj}^{2}} + \frac{(\mu_{gdj} - \mu_{fdj})^{2}}{\sigma_{fdj}^{2}} + \frac{(\mu_{gdj} - \mu_{fdj})^{2}}{\sigma_{gdj}^{2}} \right) - D \quad (14)$$

$$A = \frac{1}{2} \sum_{j=1}^{D} \left( \frac{(1-\alpha)\sigma_{gdj}^{2}}{\sigma_{fdj}^{2}} + \frac{(1-\alpha)\sigma_{fdj}^{2}}{\sigma_{gdj}^{2}} \right)$$
(15)

 $\mu_{fck}$  and  $\sigma_{fck}$  are the *k*-th component of the mean and diagonal covariance of the cepstral part of f;  $\mu_{gck}$  and  $\sigma_{gck}$  are the counterparts of g.  $\mu_{fdj}$  and  $\sigma_{fdj}$  are *j*-th component of the mean and diagonal covariance of the projection part of f;  $\mu_{gdj}$  and  $\sigma_{gdj}$  are the counterparts of g. We have observed that omitting *A* from equation (12) leads to a better balancing of cluster sizes and a better computation/accuracy trade off. When computing the distance between a Gaussian (smaller variance) and a cluster candidate (larger variance) we observe from (15) that due to *A*, a cluster with a large variance in the projection part may be disfavoured. Hence the metric becomes:

$$d(f_c, g_c) + \alpha \, d(f_d, g_d) \tag{16}$$

With the work of [4], both the output probability and symmetric KLD can be written in forms of static, velocity and acceleration streams. Each stream can be divided into a cepstral and a projection part. Consequently, there are six streams with stream weights  $\alpha_i$  (0.5 or 1). In fact, the expression for the distance metric combining information from *S* different streams with diagonal covariance and with different stream weights is:

$$\sum_{i=1}^{s} \alpha_i \, \mathrm{d}(\mathbf{f}_i, \mathbf{g}_i) \tag{17}$$

#### **Parameter Estimation of Cluster Gaussians**

Like in [7], the cluster centre is chosen by unweighted matching of first and second order moments with the clustered Gaussians. Hence, its mean and diagonal covariance are given by:

$$\overline{\mu_i} = \frac{1}{W} \sum_{k=1}^{W} \mu_{ki} \tag{18}$$

$$\overline{\sigma_i}^2 = \frac{1}{W} \sum_{k=1}^{W} (\sigma_{ki}^2 + \mu_{ki}^2) - \overline{\mu_i}^2$$
(19)

where W is the number of Gaussians belonging to the specific cluster.  $\mu_{ki}$  and  $\sigma_{ki}$  are the *i*-th component of mean and diagonal covariance of *k*-th member Gaussian.

Finally, the PROSPECT means in (13) and (14) are transformed to the spectral domain by multiplying it with the pseudo-inverse of **B** (as defined in Equation (4)) such that cluster Gaussians can be evaluated on spectral feature vector using the cost function (8).

## **Experiments**

Both the *L*-Cluster-*M*-Best and the neighbourhood methods of Gaussian selection for PROSPECT MDT were implemented and tested in our experiments, as well as the subspace implementation. The experiments are carried out on AURORA-4 [11] in-car database which is a large vocabulary continuous speech recognition task. Since the noise in AURORA-4 is artificially added, we also evaluate on the SpeechDat [12] in-car Flemish database, to which we added SNR classification.

#### **Experiments on AURORA-4**

AURORA-4 is a 5k-word dictation task. The PROSPECT acoustic model set is trained using single pass retraining from a 21037 tied mixture model set, where K=4 and D=22 using the clean training set. A VQ mask [10] is computed from the noisy signal. Both the *L*-Cluster-*M*-Best and the neighbourhood Gaussian selection are evaluated. The percentage of Gaussians calculated of the former method is controlled by the *M* to *L* ratio, while that of the latter is controlled by the average neighbourhood size to mixture number ratio which is in turn controlled by a threshold  $\Theta$ . A mixture *i* will be regarded as a member of the neighbourhood of cluster Gaussian *j* if:

Divergence(*mixture*<sub>i</sub>, *cluster*<sub>i</sub>) <  $\Theta$ . $\overline{E}_{j}$ 

where divergence is defined by (16) and  $\overline{E}_j$  is the average quantization error of cluster *j*.  $\Theta$  is initiated with 1 and is increased to fulfill the predefined average neighborhood

size. It is observed in AURORA-4 that the performance does not get much better as the number of clusters L is increased. We found that L = 110 is a reasonable value. In our experiments, replacing the score of the unselected member Gaussians with a very small value gives better results than assigning their scores with those of their cluster Gaussians in both *L*-Cluster-*M*-Best and neighborhood implementation as [8] did.

In Figure 1, the percentages of Gaussians to be calculated give an indication of the computational efficiency of decoding. For *L*-Cluster-*M*-Best, it is calculated by:

$$100(T.L + \sum_{t=1}^{T} \sum_{k=1}^{M} w_k) / T / G$$
(20)

where T is the number of frames,  $w_k$  is the size of cluster k and G is the total number of Gaussians. Curve (a) shows the tradeoff between Word Error Rate (WER) and the percentage of Gaussians calculated of 110-Cluster-M-Best Gaussian selection method, where  $M=(11, 22, \dots, 99, 110)$ yielding the ten data points along the curve. Curve (b) shows that of the neighborhood method. The average ratio of neighborhood size to the number of mixtures equals 10%, 20%... 90%. The L-Cluster-M-Best method selects several clusters around the observation, while the neighbourhood method selects Gaussians in a wide area, but not centred around the observation. Therefore, the former is more effective method, as is observed from our experiments. Curves (a) and (b) reach the same point when 100% of the Gaussians are calculated. It also shows that this point is above most points along curve (a), a phenomenon also observed in [6]. Curve (c) is the performance of subspace clustering with 4096, 6144 and 8192 clusters in each of the static and dynamic streams.

In order to achieve an efficient computation without losing much accuracy (or even gaining accuracy), we choose L-Cluster-M-Best in further experiments on MIDAS Flemish in-car database as the Gaussian selection method.



**Figure 1**: WER with percentage of Gaussian calculated of *L*-Cluster-*M*-Best, neighbourhood Gaussian selection and subspace clustering in AURORA-4 experiments.

#### **Experiments on the SpeechDat Car Flemish Database**

The car data in SpeechDat Flemish database includes utterances recorded in different driving conditions. It consists of four channels from close, medium and far field microphones. The Flemish PROSEPECT acoutic model set is again trained using single pass retaining from triphone HMM with 28917 tied Gaussians. The training data are taken from the read speech component of the Flemish CGN database [ref]. The *L*-Cluster-*M*-Best Gaussian selection is tested, where L=170, M=34. Figure 2 shows the accuracy

results on the recognition task with 637 active words or commands. The small gain in accuracy due to Gaussian selection is also observed here.





Figure 3 compares the CPU time per frame between 170-Cluster-34-Best Gaussian selection and the baseline recogniser without removing Gaussians from evaluation on the isolated words task. Gaussian selection saves 60% CPU time. Both the CPU time of the baseline system and Gaussian selection are increased as the SNR is decreased, which is attributed to the increase in number of unreliable time-frequency cells, making the NNLSQ more expensive to solve. Furthermore, as more time-frequency cells are unreliable and the noisy observations are constraining the NNLSQ problem less ( $\mathbf{s} \leq \mathbf{y}$ ) at lower SNR, the larger clusters tend to end up more in the *M*-best list, resulting in more Gaussians to be evaluated.



**Figure 3**: CPU time per frame of 170-Cluster-34-Best Gaussian selection and the baseline recogniser without Gaussian removal on isolated word grammar of SpeechDat Flemish in-car data.

#### Conclusions

We have implemented the *L*-Cluster-*M*-Best and neighborhood Gaussian selection methods to speed up the PROSPECT MDT recogniser by excluding a large fraction of mixtures from evaluation. The clustering is performed in the PROSPECT domain and the covariance matrices of both cluster Gaussians and member Gaussians are assumed to be diagonal. The weighted KLD is shown as a valid distance metric in K-means clustering. As the number of cluster gets larger, the experiments do not show much better results. With the same computational load, the *L*-Cluster-*M*-Best method performs better than the neighborhood method.

Subspace clustering cannot give results as good as Gaussian selection. The Gaussian selection is worthwhile because it brings great efficiency with tiny performance degradation as a trade off.

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